Brinker Capital

transitioning clients to Brinker Capital

With a dedicated onboarding team and pre-populated paperwork, you can focus on what's most important: your client relationships.

Action steps to transition Curian Capital clients to Brinker Capital	Financial Advisor	Brinker Capital
Work with your Brinker Capital regional director to collect and provide list of client information	✓	
Evaluate client investment profile and identify Brinker Capital solutions that most closely align with features, benefits and risk profile		✓
Provide steamlined paperwork for electronic signature and/or hard copy submission		✓
Provide clients with new investment contract, ADV and ongoing communication regarding their new Brinker Capital portfolio allocation		✓
Keep you and your clients informed with quarterly economic outlooks, monthly commentaries from portfolio managers, dedicated service teams and mobile access to account information		✓

Conversion from Curian Capital to Brinker Capital

1. Your Brinker Capital regional director and internal wholesaler will work with you to obtain the necessary client information.
2. Once you are ready to transition clients to Brinker Capital, your regional director will connect you with our specialized conversion team.
3. Members of this team are dedicated service professionals who can assist with your client data and paperwork to ensure a smooth and seamless transition.
4. Brinker Capital paperwork can be submitted electronically or via hard copy. The conversion team will guide you through the process that is most convenient for you.

about Brinker Capital

Brinker Capital is built on a foundation of ideas, discipline, and outcomes.

ideas

- **Serving advisors and clients** is our primary focus
- **Independent** firm with a **28 year history**
- **Privately owned** organization with 145 employees and almost exclusively owned by employees

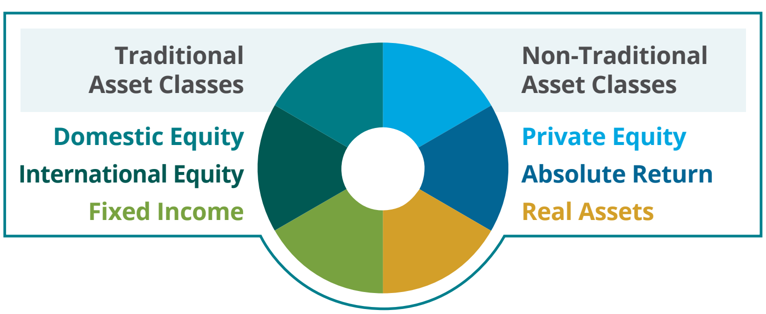
discipline

- Maintain **fiduciary responsibility** over the management of investor portfolios, SEC registered investment advisor and a FINRA registered broker-dealer
- Our long-term disciplined approach has yielded **$18.5 B** in assets under management as of June 30, 2015
- Average tenure of portfolio management team is **21 years in the industry / 12 years with Brinker Capital**

outcomes

- The **investor's goals guide our approach** to product recommendations
- **Diverse yet complementary** lines of business that help meet a multitude of investor needs
- Adhere to a **broadly-diversified approach** to help investors grow their investments over the long-term

Brinker Capital is grounded in a multi-asset class investment philosophy employed by academic endowments



We employ this investment philosophy in every product and portfolio we oversee.

A long-standing partner you can depend on

Goals-Based approach to wealth management	Brinker Capital	Expertise in investment discipline and research
Business development and management support		Reporting, education and communication tools

a range of investment solutions

We have developed resources specifically to help you identify the optimum Brinker Capital products that best match your clients' needs.

Investing in any investment vehicle carries risk, including the possible loss of principal, and there can be no assurance that any investment strategy will provide positive performance over a period of time. The asset classes and/or investment strategies described in this publication may not be suitable for all investors. Investment decisions should be made based on the investor's specific financial needs and objectives, goals, time horizon, tax liability, and risk tolerance. When investing in managed accounts and wrap accounts, there may be additional fees and expenses added onto the fees of the underlying investment products. For more information about Brinker Capital and our investment philosophy, including information on fees, you may request a copy of our Form ADV Part II from a Brinker Capital Client Services representative at 800.333.4573 or at clientservice@brinkercapital.com. Brinker Capital does not render tax, accounting, or legal advice.

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