

ADV Part 2 B

Alta Capital Management, LLC

6440 S. Wasatch Blvd., Suite 260

Salt Lake City, UT 84121

Phone: (801) 274-6010

September 30, 2022

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ADV Part 2 B: Michael Tempest

Alta Capital Management, LLC, 6440 S. Wasatch Blvd., Suite 260, Salt Lake City, UT 84121, Phone: (801) 274-6010

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Item 2: Educational Background and Business Experience

Michael Tempest

Year of Birth: 1965

Education:

Brigham Young University, 1990, B.S. International Finance.

Columbia University, 1994, MBA Finance.

Business Background:

Alta Capital Management, Managing Principal, Co-Chief Investment Officer & Portfolio Manager, Salt Lake City, UT, 1996-Present.

Bear Stearns & Company, New York, NY, 1994-1996

Morgan Stanley & Co, Inc., New York, NY, 1993

Shell Oil Company, Houston, TX, 1991-1992

Item 3: Disciplinary Information

Not applicable.

Item 4: Other Business Activities

Not applicable.

Item 5: Additional Compensation

Not applicable.

Item 6: Supervision

Michael Tempest is the Managing Principal of the firm. He is supervised by his fellow principals who can be reached at (801) 274-6010. Haley Hammond (801-274-6010), Chief Compliance Officer, oversees Michael Tempest's compliance with advisory policies and procedures.

ADV Part 2 B: Melanie Peche, CFA

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Item 2: Educational Background and Business Experience

Melanie Peche

Year of Birth: 1971

Education:

University of Wisconsin, 1994, B.S. Finance/Accounting.

Business Background:

Alta Capital Management, Principal & Portfolio Manager, Salt Lake City, UT, 2004-Present

Salomon Smith Barney, London, UK, 1998-2000

Goldman Sachs International, London, UK, 1994-1998

Item 3: Disciplinary Information

Not applicable.

Item 4: Other Business Activities

Not applicable.

Item 5: Additional Compensation

Not applicable.

Item 6: Supervision

Melanie Peche is supervised by Michael Tempest, Managing Principal, who can be reached at (801) 274-6010. Haley Hammond (801-274-6010), Chief Compliance Officer, oversees Melanie Peche's compliance with advisory policies and procedures.

ADV Part 2 B: Casey Nelsen, CFA

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Item 2: Educational Background and Business Experience

Casey Nelsen

Year of Birth: 1982

Education:

Brigham Young University, 2007, B.S. Business Management w/ Finance Emphasis.

Business Background:

Alta Capital Management, Principal, Co-Chief Investment Officer & Portfolio Manager, Salt Lake City, UT, 2007-Present.

Brigham Young University. Provo, UT, Student, 2006.

CitiBank, Intern, Summer 2006.

Item 3: Disciplinary Information

Not applicable.

Item 4: Other Business Activities

Not applicable.

Item 5: Additional Compensation

Not applicable.

Item 6: Supervision

Casey Nelsen is supervised by Michael Tempest, Managing Principal, who can be reached at (801) 274-6010. Haley Hammond (801-274-6010), Chief Compliance Officer, oversees Casey Nelsen's compliance with advisory policies and procedures.

ADV Part 2 B: Nate Rhees

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Item 2: Educational Background and Business Experience

Nate Rhees

Year of Birth: 1976

Education:

Utah State University, 2000, B.A. Finance & Economics

Business Background:

Alta Capital Management, Principal & Client Portfolio Manager, Salt Lake City, UT, 2006-Present.

Goldman Sachs, VP Private Wealth Management, Salt Lake City, UT, 2002-2006.

Fidelity Investments, Trader, Smithfield, RI, 2000-2001.

Item 3: Disciplinary Information

Not applicable.

Item 4: Other Business Activities

Not applicable.

Item 5: Additional Compensation

Not applicable.

Item 6: Supervision

Nate Rhees is supervised by Michael Tempest, Managing Principal, who can be reached at (801) 274-6010. Haley Hammond (801-274-6010), Chief Compliance Officer, oversees Nate Rhees' compliance with advisory policies and procedures.

ADV Part 2 B: Andrew Schaffernoth

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Item 2: Educational Background and Business Experience

Andrew Schaffernoth

Year of Birth: 1965

Education:

Campbell University, 1987, B.A. Trust Management

Business Background:

Alta Capital Management, Principal & Client Portfolio Manager, Salt Lake City, UT, 2002-Present.

Chesapeake Investment Marketing & Advisory Group, Principal, Richmond, VA, 2002-2019.

Strong Capital Management, Institutional Sales & Marketing, 2000-2002.

First Union National Bank, First Capital Group, Institutional Sales & Marketing, 1997-2000.

First Union National Bank, Capital Management Group, Institutional Trust Officer, 1987-1997

Item 3: Disciplinary Information

Not applicable.

Item 4: Other Business Activities

Not applicable.

Item 5: Additional Compensation

Not applicable.

Item 6: Supervision

Andrew Schaffernoth is supervised by Michael Tempest, Managing Principal, who can be reached at (801) 274-6010. Haley Hammond (801-274-6010), Chief Compliance Officer, oversees Andrew Schaffernoth's compliance with advisory policies and procedures.

ADV Part 2 B: Lynn Miller

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Item 2: Educational Background and Business Experience

Lynn Miller

Year of Birth: 1941

Education:

University of Utah: B.A., 1966.

University of Utah: M.B.A., 1967.

Business Background:

Alta Capital Management, Client Service, Salt Lake City, UT, 2009-Present

SMC Capital Management, President, Salt Lake City, UT, 1979-2009

Rainier National Bank, Seattle, WA, 1974-1979

Wells Fargo Bank, San Francisco, CA, 1967-1974

Item 3: Disciplinary Information

Not applicable.

Item 4: Other Business Activities

Not applicable.

Item 5: Additional Compensation

Not applicable.

Item 6: Supervision

Lynn Miller is supervised by Michael Tempest, Managing Principal, who can be reached at (801) 274-6010. Haley Hammond (801-274-6010), Chief Compliance Officer, oversees Lynn Miller's compliance with advisory policies and procedures.

ADV Part 2 B: Bob Cheney

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Item 2: Educational Background and Business Experience

Bob Cheney

Year of Birth: 1937

Education:

University of Utah, B.S. Banking and Finance, 1965.

Business Background:

Alta Capital Management, Senior Investment Professional, Salt Lake City, UT, 2009-Present

SMC Capital Management, Principal, Salt Lake City, UT, 1999-2009

Item 3: Disciplinary Information

Not applicable.

Item 4: Other Business Activities

Not applicable.

Item 5: Additional Compensation

Not applicable.

Item 6: Supervision

Bob Cheney is supervised by Michael Tempest, Managing Principal, who can be reached at (801) 274-6010. Haley Hammond (801-274-6010), Chief Compliance Officer, oversees Bob Cheney's compliance with advisory policies and procedures.

ADV Part 2 B: Tyler Partridge, CFA

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Item 2: Educational Background and Business Experience

Tyler Partridge

Year of Birth: 1977

Education:

Brigham Young University: BS Accounting, 2001

University of Chicago Booth School of Business: MBA, 2006

Business Background:

Alta Capital Management, Principal & Portfolio Manager, 2015-Present

Atlanta Capital Management, Equity Analyst & Principal, 2006-2015

PricewaterhouseCoopers, Transaction Services, 2001-2004

Item 3: Disciplinary Information

Not applicable.

Item 4: Other Business Activities

Not applicable.

Item 5: Additional Compensation

Not applicable.

Item 6: Supervision

Tyler Partridge is supervised by Michael Tempest, Managing Principal, who can be reached at (801) 274-6010. Haley Hammond (801-274-6010), Chief Compliance Officer, oversees Tyler Partridge's compliance with advisory policies and procedures.

ADV Part 2 B: Carter Allen

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Item 2: Educational Background and Business Experience

Carter Allen

Year of Birth: 1996

Education:

University of Wisconsin-Madison: BBA Finance, Investment & Banking, 2018

University of Wisconsin-Madison: MS Finance, Applied Security Analysis, 2019

Business Background:

Alta Capital Management, Equity Analyst: May 2019 - Present

Item 3: Disciplinary Information

Not applicable.

Item 4: Other Business Activities

Not applicable.

Item 5: Additional Compensation

Not applicable.

Item 6: Supervision

Carter Allen is supervised by Michael Tempest, Managing Principal, who can be reached at (801) 274-6010. Haley Hammond (801-274-6010), Chief Compliance Officer, oversees Carter Allen's compliance with advisory policies and procedures.

ADV Part 2 B: Nirvon Mahdavi, CFA

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Item 2: Educational Background and Business Experience

Nirvon Mahdavi, CFA

Year of Birth: 1994

Education:

University of Utah: BS Finance, 2017

Business Background:

Alta Capital Management, Equity Analyst, 2016 - Present

Item 3: Disciplinary Information

Not applicable.

Item 4: Other Business Activities

Not applicable.

Item 5: Additional Compensation

Not applicable.

Item 6: Supervision

Nirvon Mahdavi is supervised by Michael Tempest, Managing Principal, who can be reached at (801) 274-6010. Haley Hammond (801-274-6010), Chief Compliance Officer, oversees Nirvon Mahdavi's compliance with advisory policies and procedures.

ADV Part 2 B: Daniel Lasky

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Item 2: Educational Background and Business Experience

Daniel Lasky

Year of Birth: 1997

Education:

Boise State University: BBA Accounting, 2020

Business Background:

Alta Capital Management, Equity Analyst, 2022 – Present

DriveTime Automotive Group, Tax Accountant: January 2021 - August 2022

Item 3: Disciplinary Information

Not applicable.

Item 4: Other Business Activities

Not applicable.

Item 5: Additional Compensation

Not applicable.

Item 6: Supervision

Daniel Lasky is supervised by Michael Tempest, Managing Principal, who can be reached at (801) 274-6010. Haley Hammond (801-274-6010), Chief Compliance Officer, oversees Daniel Lasky's compliance with advisory policies and procedures.

¹ **CFA®**: The Chartered Financial Analyst (CFA®) designation is issued by the CFA Institute. CFA® candidates must meet one of the following requirements: (1) undergraduate degree and four years of professional experience involving investment decision-making, or (2) four years qualified work experience (full time, but not necessarily investment- related). To receive the CFA® designation, candidates must complete the CFA Program which is organized into three levels, each requiring 250 hours of self-study and each culminating in a six-hour exam. There are no ongoing continuing education or experience thresholds necessary to maintain the CFA® designation. More information about the designation is available at <https://www.cfainstitute.org/>.

Titles listed in the Business Experience section of these Supplements are the current titles held but are not meant to imply that all current titles have been held for the professionals' tenure with the firm.